NEC 2 3 2003

## TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

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Total Number of Pages in This Submission:

Application Number	10/016,506
Filing Date	December 10, 2001
Confirmation Number	9080
Inventor(s)	PAWLIKOWSKI et al.
Group Art Unit .	3626
Examiner	Morgan, R.
Attorney Docket No.	00-22

ENCLOSURES (check all that apply)						
Fee Transmittal Form		Assignment Papers		Issue fee Transmittal Form PTOL- 85(b) + (c) and cover sheet		
Fee Stached		Cover Sheet		After Allowance Communication to Group		
Check No.:		Drawing Change Authorization Request and Amended Figure(s	;) 🔲	Appeal Communication to Board of Appeals and Interferences		
Amendment / Respo	onse	Request for Return of PTO-1449 Forms	9 🔲	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to the Commissioner		Proprietary Information		
Affidavits / De	eclaration(s)	To Convert a Provisional Application		Status Request Letter		
Extension of Time Re	equest	Power of Attorney, Revocation Change of Address		Small Entity Statement		
Information Disclosur	e Statement	Terminal Disclaimer(s)		Request for Refund		
Form PTO-14	49	Certified Copy of Priority Document(s)		Response to Missing Parts / Incomplete Application		
Cited Referen	.	Request for Corrected Filing Receipt		Response to File Corrected Application Papers		
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	SIGNATUR	E OF APPLICANT, ATTORNEY,	OR AGEN	T (7 ) = 3		
	el W. Haas, Reg. No.			MA ()		
i in		Murry Ridge Lane, Murrysville PA	, 15668			
Signature //	charl W.	Haan				
Date Dece	mber 23, 2003					
		CERTIFICATE OF MAILING				
I hereby certify that this corr	espondence and all e		th the Unite	ed States Postal Service as first class		
mail in an envelope addressed to:  Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450 on this date: <u>December 23, 2003</u> .						
Typed Name Micha	el W. Haas, Reg. No.	35,174				
Signature Mu	while h. A	bu	Date	December 23, 2003		



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re PATENT APPLICATION of

Inventor

PAWLIKOWSKI et al.

Appln. No.

10/016,506

Conf. No.:

9080

Filed:

Title:

December 10, 2001

SYSTEM AND METHOD FOR UPGRADING A MEDICAL DEVICE

Group Art Unit

3626

Examiner

Morgan, R.

Docket No.

00-22

December 23, 2003

## INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Alexandria, VA 22313-1450

Sir:

Attached is a Form PTO-1449 listing the enclosed documents.

I hereby certify that each enclosed document listed on the herewith Form PTO-1449 was cited in the attached PCT Written Opinion, dated December 3, 2003, issued in a counterpart foreign application not more than three months ago.

This Information Disclosure Statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully.

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on <u>December 23, 2003</u> with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Michael W. Haas, Reg. No. 35,174

LAN 65 2004

PAWLIKOWSKI et al. -- Appln. No.: 10/016,506

Consideration of the foregoing and enclosures plus the return of a copy of the herewith Form PTO-1449 with the Examiner's initials in the left column per M.P.E.P. § 609 along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,

Michael W. Haas

Reg. No.: 35,174

Tel. No.: (724) 387-5026 Fax No.: (724) 387-5021

RESPIRONICS, INC. 1010 Murry Ridge Lane Murrysville, PA 15668-8525 1-800-638-8208

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PTO/SB/08 Form (RESPIRONICS Version)  INFORMATION DISCLOSURE				Application No.	10/016,506
				Filing Date	December 10, 2001
				Inventor	PAWLIKOWSKI et al.
s <sup>-</sup>	STATEMENT BY APPLICANT			Confirmation No.	9080
				Group Art Unit	3626
Date December 23, 2003				Examiner:	Morgan, R.
Sheet 1 of 1		Attorney Docket No:	00-22		

		-	U.S.	PATENT DOCUMENTS		
	Cite	U.S. Patent Document		Name of Patentee	Publication Date	Relevant Pages,
	No.	Number	Kind Code	Name of Fatence	MM-DD-YYYY	Columns, Lines
	Α	5,843,138		EVERS et al.	12-01-1998	
	В	5,800,473		FAISANDIER	09-01-1998	
	С	5,456,692		SMITH, JR. et al.	10-10-1995	
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	FOREIGN PATENT DOCUMENTS							
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609. Draw a line through the citation if not in conformance and not considered. Per MPEP § 609, include a copy of this form with the next communication to the Applicant.